





UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/813.368

03/30/2004

William Thomas Hatfield

140283-1/YOD GERD:0105

CONFIRMATION NO. 4692

Patrick S. Yoder FLETCHER YODER P.O. Box 692289 Houston, TX 77269-2289 FORMALITIES LETTER

OC000000012942820

Date Mailed: 06/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Kenneth Brakeley Welles
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

William Thomas Hatfield et al.

Serial No.:

10/813,368

Filed:

March 30, 2004

For:

LIGHTING SYSTEM CONDITION

MONITORING METHOD AND

SYSTEM

Group Art Unit:

Examiner:

Unassigned

Atty Docket: 140283-1/YOD

2871

GERD:0105

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATE OF MAILING 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Mail Stop Missing Parts, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

August 13, 2004

Date

Sir:

RESPONSE TO THE NOTICE TO FILE MISSING PARTS

This is in Response to the Notice to File Missing Parts of Application mailed on June 14, 2004. The Notice indicated that the Declarations as filed did not include the signature of coinventor Kenneth Brakeley Welles. The undersigned, who personally executed the Utility Application Transmittal documents has thoroughly reviewed the file, and has observed that Declarations with all signatures, including that of Kenneth Brakeley Welles were, in fact, filed with the application on March 30, 2004. Accordingly, it is believed that the Notice to File Missing Parts was issued in error, and the Office is requested to review the documents as filed and withdraw the Notice.

Due to the diverse locations and schedules of the inventors, the various inventors signed different copies of the Declaration in the present case. It is believed that this may have led to some confusion in the Office's review of the Declarations, and perhaps the oversight of the signature of

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Mr. Wells. To clarify this matter, complete copies of the executed Declarations are resubmitted

herewith in their exact form as submitted on March 30, 2004.

The undersigned would request that the Office thus waive the fee associated with late filing

of the Declarations. In the event the Office believes that this fee is nevertheless due, the Office is

kindly requested to treat this filing as a bona fide attempt to respond to the Notice and inform the

undersigned, preferably by telephone, at its earliest convenience of the need to submit additional

explanation or pay fees.

Please address all correspondence to Patrick S. Yoder at the address below.

Respectfully submitted,

Date: August 13 2004

Patrick S. Yoder

Reg. No. 37,479

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